



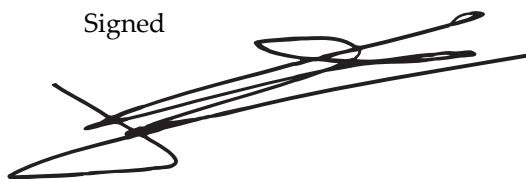
## GROUPE WALBAUM - IAR

### REINSURANCE INTERMEDIARIES QUESTIONNAIRE

1.	Name of Firm	Groupe Walbaum – IAR	
		After merger of :	Established
		1. Maison G.F.Walbaum	1896
		2. Walbaum International	1987
		3. I.A.R.	1991
2.	Registered Address	33, Avenue des Etats-Unis 78000 Versailles FRANCE	
3.	Mailing Address	same	
4.	Telephone No	+(33)1 42 60 04 38	
5.	Telefax No		
6.	E-mail	<a href="mailto:walbaum@walbaum.fr">walbaum@walbaum.fr</a>	
7.	Ownership of Groupe Walbaum - IAR	Holdage	100%
8.	Ownership of Holdage	Employees of Walbaum - IAR	100%
9.	Directors	Name	Date of Appointment
	<u>Chairman/President</u>	Franck Guinot	1987
	Managers	Nigel Reardon – Lepine Caroline Seguy	1989 1991
10.	Total No. of Staff	4	
11.	Total No. of Producers	2	
12.	Total No. of Administrative Staff	2	
13.	Other Locations/Branch & Contact Offices	Nil	
14.	Authorised Capital	Euro 94,980	
15.	Paid up Capital	Euro 94,980	
16.	Professional Organisations to which the firm belongs	Syndicat National des Courtiers d'Assurance et de Réassurance (SNCAR)	
17.	Name of Bankers	Banco de Sabadell	
18.	Address of Bankers	7-11 Boulevard Hausmann 75009 PARIS FRANCE	

19.	Do you maintain and operate Fiduciary client bank accounts for premiums/claims?	Yes. Same Bank as under 17 and 18.
20.	Name and Address of Auditors	Fiduciaire Audit Comptable 46 rue Lauriston 75116 Paris
21.	Frequency of Audit	Yearly
22.	Has the Firm instituted a Security Committee?	No
23.	Errors and Omission Insurance A. Principal Insurers B. Limit(s)	(Professional Indemnity) : Markel International Co € 1,525,000 per claim / € 3,050,000 in the annual aggregate
24.	Percentage of Business Placed Reinsurance Property Marine Motor & GTP Life & PA	100 % 82.03 % 0.00 % 14.61 % 3.36 % Direct 0 %
25.	Does the Firm have binding authorities with Lloyd's and/or Companies? No. If so : Names of Facilities and Limits	
26.	Is there provision for sub-delegation? No. If so, give details:	
27.	ORIAS : Register N°07027252 <i>Note : ORIAS is the sole, official French register of insurance intermediaries and is updated annually. It was established in response to the requirements of European Union Law. Since 2007, it is a regulatory obligation for an intermediary in France to appear in the register in order to have the right to transact business. In particular, it testifies to the fact that the intermediary firm is professionally competent, is honorable and is covered by an adequate level of professional liability insurance. Furthermore, insurance intermediaries in France come under the supervision of the ACPR (Autorité de contrôle prudentiel et de résolution), a regulatory body attached to the Bank of France which is charged with preserving the stability of the financial system and protecting the customers, insurance policyholders, members and beneficiaries of the entities that it supervises.</i>	

Signed



Name	Franck Guinot
Title	CEO
Firm	Groupe Walbaum - IAR
Date	30th June 2020